



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of: Neal D. Hartsell, et al.

Filed: June 12, 2001

For: SYSTEMS AND METHODS FOR PRIORITIZATION IN INFORMATION  
MANAGEMENT ENVIRONMENTS

Serial No.: 09/879,834

Group Art Unit: Unknown

Examiner: Unknown

Atty Docket No.: SURG:149

Pursuant to 37 C.F.R. 1.8, I certify that this correspondence is being deposited with the U.S. Postal Service in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231 on the date below:

10-11-01 Diane C. Potts  
Date Name

BOX MISSING PARTS

Assistant Commissioner For Patents  
Washington, D.C. 20231

Dear Sir:

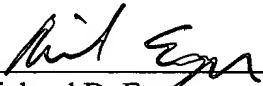
Transmitted herewith for filing are:

- ☐ New Patent Application consisting of \_\_\_ pages
- ☐ Continued Prosecution Application (37 CFR §1.53(d)) -- The parent application is USSN \_\_\_\_\_ filed on \_\_\_\_\_. The prior Examiner was \_\_\_\_\_ in Group Art Unit \_\_\_\_\_.
- ☒ Notice to File Missing Parts - Part 2 copy
- ☐ Assignment and Recordation Cover sheet
- ☒ Inventor's Declaration
- ☐ Information Disclosure Statement
- ☐ Petition for a \_\_\_\_\_ month extension of time

- ☐ Response to Office Action
- ☐ Preliminary Amendment
- ☐ Formal Drawings (Figs , sheets)
- ☐ Informal Drawings
- ☐ Notice of Appeal
- ☐ An Appeal Brief (an original and two copies)
- ☒ Check in the amount of \$1158.00
- ☒ The Commissioner is authorized to deduct any requisite fees under 37 CFR 1.16 to 1.21 from, or deposit any credits to, Deposit Account No. 10-1205/SURG:149, including any concurrent or future required extension of time fees.
- ☒ Postcard. Please date stamp and mail this postcard to acknowledge receipt of the enclosed documents.
- ☒ Other: Election Under 37 CFR §§3.71 and Statement Under 3.73 and Power of Attorney

The Examiner is invited to contact the undersigned at 512-347-1611 with any questions or comments, or to otherwise facilitate expeditious prosecution of the application.

Respectfully submitted,

  
\_\_\_\_\_  
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Registration No. 36,788  
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## UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
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APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/879,834	06/12/2001	Neal D. Hartsell	SURG:149



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CONFIRMATION NO. 4298

## FORMALITIES LETTER



\*OC000000006817397\*

Date Mailed: 10/01/2001

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

## Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- o The statutory basic filing fee is missing.  
*Applicant must submit \$ 355 to complete the basic filing fee for a small entity. (376)*
- o Total additional claim fee(s) for this application is \$719.
  - \$639 for 71 total claims over 20.
  - \$80 for 2 independent claims over 3. (84)
- o The oath or declaration is missing.  
*A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.*
- o To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(l) of \$65 for a small entity in compliance with 37 CFR 1.27, must be submitted with the missing items identified in this letter.
- o **The balance due by applicant is \$ 1139. (1168)**

A copy of this notice **MUST** be returned with the reply.

Customer Service Center  
Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

09/17/2001 EABURAK1 00000026 09879834  
370.00  
84.00  
639.00  
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01 FC:201  
02 FC:202  
03 FC:203  
04 FC:205